

कार्यालय-अधिष्ठाता, शोध एवं विकास
हरकोर्ट बटलर प्राविधिक विश्वविद्यालय कानपुर -02

पत्रांक: 528 / DoRD / 2026

दिनांक: अगस्त 21, 2026

समस्त अधिष्ठाता स्कूल
एच0बी0टी0यू0, कानपुर

अवगत कराना है कि विश्वविद्यालय की दिनांक अगस्त 18, 2026 को सम्पन्न शोध एवं विकास परिषद की तृतीय बैठक के मद सं0 3.16 में विश्वविद्यालय में शोध पत्र प्रकाशित करने हेतु HBTU-Research Publications Policy प्रकरण पर सक्षम स्तर से प्राप्त अनुमोदन उपरान्त निम्न निर्णय लिया गया है:-

मद सं0 3.16 विश्वविद्यालय में शोध पत्र प्रकाशित करने हेतु HBTU-Research Publications Policy प्रकरण विचारार्थ प्रस्तुत।

परिषद के समक्ष विश्वविद्यालय में शोध पत्र प्रकाशित करने हेतु HBTU-Research Publications Policy का प्रस्ताव प्रस्तुत किया गया विश्वविद्यालय की संबद्धता से किए गए शोध से उत्पन्न शोध प्रकाशनों के लेखन को निर्धारित करने के लिए पारदर्शी और नैतिक दिशानिर्देश स्थापित करने हेतु HBTU-Research Publications Policy का प्रस्ताव प्रस्तुत किया गया है। यह नीति लेखन के मानदंड, लेखकों का क्रम, लेखकों की जिम्मेदारियां, अनैतिक लेखन प्रथाओं की रोकथाम, लेखन विवादों का समाधान और सभी संकाय सदस्यों, शोध विद्वानों परियोजना कर्मचारियों तथा छात्रों द्वारा अनुपालन को रेखांकित करती है। परिषद द्वारा प्रस्तावित HBTU-Research Publications Policy पर सक्षम स्तर द्वारा गठित समिति की संस्तुति पर गहन विचार-विमर्श करते हुए कार्योत्तर स्वीकृति प्रदान की गयी एवं वर्तमान शैक्षणिक सत्र 2026-27 से विश्वविद्यालय में लागू करने हेतु निर्णय लिया गया।

अतः शोध एवं विकास परिषद के उपरोक्त निर्णय के क्रम में संलग्न नीति (पृष्ठ 1-4) नव-प्रवेशित शैक्षणिक सत्र 2026-27 से संबंधितों पर प्रभावी होगी, तदनुसार विभागों को आवश्यक कार्यवाही हेतु सूचित करने का कष्ट करें।



(प्रो0 राजेश कुमार वर्मा)
अधिष्ठाता, शोध एवं विकास

प्रतिलिपि निम्नोक्त को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित:-

1. अधिष्ठाता शैक्षिक क्रियाकलाप, एच0बी0टी0यू0, कानपुर
2. समस्त विभागाध्यक्ष, एच0बी0टी0यू0, कानपुर (ई-मेल)
3. केन्द्रीय पुस्तकालय, एच0बी0टी0यू0, कानपुर
4. कुलसचिव कार्यालय एच0बी0टी0यू0, कानपुर
5. सिस्टम मैनेजर, कृपया विश्वविद्यालय की वेबसाइट पर अपलोड कराने का कष्ट करें।
6. विशेष कार्याधिकारी, कुलपति कार्यालय को मा0 कुलपति महोदय के संज्ञानार्थ।



अधिष्ठाता, शोध एवं विकास

HARCOURT BUTLER TECHNICAL UNIVERSITY (HBTU), KANPUR

AUTHORSHIP POLICY FOR RESEARCH PUBLICATIONS

Preamble

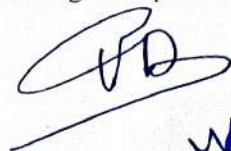
Authorship of scholarly publications is both a privilege and a responsibility. It signifies a substantial intellectual contribution to research and acknowledges accountability for the integrity, accuracy, and originality of the published work. HBTU is committed to promoting ethical research practices, transparency, fairness, and academic integrity in all research activities.

This policy aims to establish clear guidelines for determining authorship, preventing unethical authorship practices, protecting the interests of research scholars and faculty members, and ensuring that publications arising from research conducted at HBTU appropriately recognize individual contributions. The policy shall apply to all journal articles, conference papers, book chapters, patents, technical reports, theses, dissertations, project reports, and other scholarly outputs produced wholly or partly using the University's academic or research facilities.

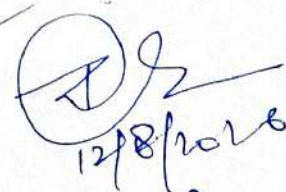
Guidelines

1. **Original Research Contribution:** Every thesis, dissertation, project report, or research publication must represent original research carried out by the student or researcher during the period of enrollment at HBTU under the approved research program or sponsored project.
2. **Inclusion of Published Work in Thesis/Dissertation:** For inclusion of published work in a thesis/dissertation, the following minimum requirements shall be fulfilled as per the applicable Ordinances of the University:
 - 2.1 The work shall be directly related to the approved research topic.
 - 2.3 Proper references and acknowledgements shall be provided.
 - 2.3 The respective contributions of the candidate and co-authors shall be clearly stated.
 - 2.4 The inclusion shall comply with the applicable Ordinances and academic regulations of the University.

Moreover, only after fulfillment of the minimum publication requirements prescribed for dissertation/thesis submission, due credit may be accorded to contributors from other institutions to encourage and promote collaborative research.



12.08.2026



3. **Statement of Contribution:** Whenever a published paper forms part of a thesis or dissertation, the candidate shall include a clear statement describing his/her specific intellectual contributions, including conceptualization, experimentation, data analysis, software development, manuscript preparation, or any other significant scholarly input.
4. **Criteria for Authorship:** Authorship shall be granted only to individuals who have made substantial intellectual contributions to one or more of the following:
- 4.1 Conceptualization and design of the research;
 - 4.2 Experimental investigation or data collection;
 - 4.3 Data analysis and interpretation;
 - 4.4 Development of methodology, models, or software;
 - 4.5 Drafting or critically revising the manuscript; and
 - 4.6 Approval of the final version prior to submission.

5 Order of Authors

- 5.1 The order of authors shall reflect the relative intellectual contributions of the contributors and shall be determined through mutual agreement before manuscript submission.
- 5.2 In publications arising from a student's thesis or dissertation, the research scholar shall normally be the **first author/and or corresponding author**, while the supervisor(s) shall ordinarily be listed as **corresponding author or co-author(s)**, depending upon their academic contribution.

6 Responsibilities of Authors

All authors shall:

- 6.1 ensure that the research and publication are presented accurately and honestly;
- 6.2 take reasonable responsibility for the portions of the work to which they have contributed;
- 6.3 disclose any relevant conflicts of interest;
- 6.4 review and approve the final manuscript before submission, as practicable; and
- 6.5 cooperate in addressing any questions concerning the accuracy, integrity, or authenticity of the work.

Each author should be able to identify and explain his/her specific contribution to the publication.

12.08.2026

7 Prohibited Authorship Practices

The following practices are considered inappropriate and shall not be permitted:

- 7.1 **Gift or honorary authorship:** listing a person as an author without a substantial scholarly contribution;
- 7.2 **Ghost authorship:** excluding a person who has made a substantial contribution to the research or manuscript without appropriate justification;
- 7.3 **Coercive authorship:** requiring or pressuring an individual to include or exclude a person as an author without a legitimate scholarly basis;
- 7.4 **Improper exclusion:** excluding a contributor who satisfies the applicable authorship criteria;
- 7.5 **Misrepresentation of contribution:** falsely representing the contribution of an author;
- 7.6 **Unauthorized alteration of authorship:** adding, removing, or changing the order of authors without appropriate consent.

8. Resolution of Authorship Disputes

- 8.1 Any dispute relating to authorship shall initially be resolved through discussion among the concerned authors.
- 8.2 If unresolved, the matter shall be referred to the Head of the Department and, if necessary, to the Dean (Research & Development)/ Chairman University Academic Integrity Panel for appropriate resolution.

9. Research Integrity and Accountability

Authorship establishes both **credit and accountability**. A person listed as an author should have made a meaningful contribution to the work and should accept appropriate responsibility for the integrity of his/her contribution. Anyone listed as an author on a paper should accept responsibility for ensuring that he/she is familiar with the contents and can identify their contribution to it.

Where concerns arise regarding fabrication, falsification, plagiarism, manipulation of data, or other forms of research misconduct, the matter shall be dealt with in accordance with the applicable University rules and research integrity procedures.

Mh
12.08.2026

PD

Praveen

Dr
14/8/2026

10. University reserves the right to appropriately consider the issues which arise due to the above or those which are not covered through this policy. The decision taken by the Vice Chancellor on the recommendation of the Dean, Research and Development will be final and binding.
11. No representation shall be entertained on the action taken upon the defaulters breaching the prescribed authorship policy.
12. In the event of violation of the authorship policy, the University authority shall take the final decision after necessary investigation as required in the matter. Action against those violating the policy will be taken by the respective Dean after approval of the Vice Chancellor.
13. **Compliance:** All faculty members, research scholars, project staff, and students shall comply with this policy while preparing and submitting scholarly publications based on research conducted.
14. Any amendments to the Research Authorship Policy shall be made in accordance with the directions and approval of the Hon'ble Vice-Chancellor, HBTU, Kanpur.

Mh
12.08.2024

Praveen

12/8/2024