



हरकोर्ट बटलर प्राविधिक विश्वविद्यालय

नवाबगंज, कानपुर – 208002, उ.प्र., भारत

HARCOURT BUTLER TECHNICAL UNIVERSITY

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100+
YEARS
1921 - 2021



GUIDELINES FOR ADMISSION TO PhD PROGRAMMES WINTER SESSION 2024-25

1. Applications for admission to PhD programs at HBTU, Kanpur are invited for Winter session 2024-25. Online Registration and Fee Payment of **Rs. 2500/- (Non-refundable)** can be made from **December 21, 2024 (Saturday) to January 14, 2025 (Tuesday)** through the URL <https://hbtuadm.samarth.edu.in/> Detailed guidelines related to admission are also available on the University website.
2. All eligible Indian residents may apply for PhD programs. There is no age limit for the candidates seeking admission to the Ph.D. programs at HBTU Kanpur.
3. Candidates are advised to read the guidelines carefully and check their eligibility before filling out the online application form.
4. Accepting admission at HBTU Kanpur implies the acceptance of all the provisions of admission by the candidate. Any change in the rules, regulations, fees, etc. shall apply mutatis mutandis to the admitted candidates.
5. Candidates seeking the benefit of reservation are required to indicate the same in the Online Application Form. Original certificates are to be produced at the time of Document Verification along with one set of self-attested copies of each one of them. Formats for different certificates are available on the HBTU website. These formats are subject to change as per the orders of Govt. of Uttar Pradesh. Note that the OBC certificate for such candidates should be issued on or after 01.04.2024 (mandatory condition for non-creamy layer for OBC candidates). Candidates from other states will get vertical reservation only (No horizontal reservation). They are required to submit a reserve category certificate issued by the Central Government.
6. If the result of the qualifying examination has not been declared till the date of the Document Verification due to any reason, whatsoever, such candidate shall not be eligible for admission under any circumstances.
7. If any document/declaration submitted by the candidate is found to be false at any stage, his / her admission shall be canceled and he/she may be liable to prosecution under the law. In case of any legal dispute, the jurisdiction will be limited to Kanpur courts only.
8. Incomplete application forms will be rejected and the sole responsibility for its consequences will be on the candidate. No representation in this regard will be entertained.
9. Academic Fee for admission to Ph.D. programs is Rs. 65,000=00 (Rs. Sixty-Five Thousand only) for winter session 2024-25.
10. Written Examination / Interview and Document Verification for PhD admissions will be conducted at HBTU Kanpur. A separate intimation will be displayed on the HBTU website for this purpose.

11. The candidates will be required to qualify in the Written Examination comprising of Papers I & II. The contents of Paper-I will have thrust on testing the skills of data interpretation, language proficiency, reasoning and logic design, research aptitude etc. Paper-II shall be subject-specific. Both papers will contain multiple-choice type questions. A candidate is required to obtain an aggregate of 50 percent marks or more in papers I & II to be called for an interview. Weightage of Written Examination and Interview is 70 percent and 30 percent, respectively.
12. **Candidates with valid UGC-NET (Category-1/2/3) / CSIR-NET / GATE / CEED and similar National level test scores in their relevant discipline will not be required to appear in the Written Examination. However, they are required to appear for the interviews.**
13. **The number of seats under the regular Ph.D. programme is given in Table 1. The sponsored and part-time candidates may get admission against the availability of seats under the faculty members (maximum 04 for Assistant Professor, 06 for Associate Professor, and 08 for Professor).**
14. Candidates who have been awarded grades in the qualifying examinations are required to present a conversion formula for an equivalent percentage of marks from the University / Institute. In the absence of such a conversion formula, the decision taken by the Admission Committee will be final.
15. **All the candidates are required to come with photo identity proof such as an Aadhar Card / Driving License / PAN at the time of Written Examination and Interviews for admissions.**
16. All the information related to PhD admissions for winter session 2024-25 will be made available on the University website <http://www.hbtu.ac.in>. Candidates are advised to frequently visit the website for updates. HBTU Kanpur will not be responsible for the consequences to the candidates due to the lack of communication.
17. In case of seat allotment, the candidate is required to deposit the full Academic Fee within the specified period otherwise; his / her admission will be canceled. The seats thus, vacated will be filled by candidates from the waiting list.
18. **If a candidate fails to report for Registration on the due date or leaves the University after completing the formalities of admission or leaves the study midway, the Academic Fee deposited by the candidate will be forfeited and any request for withdrawal/refund will not be entertained.**
19. **Refund Policy:**
A sum of Rs. 5000/- will be deducted as processing fee as per UGC norms in case of withdrawal after submitting Full Academic Fee. Other deductions will be as per UGC guidelines.
20. **University reserves the right to modify the Guidelines as and when required.**

Table 1: Eligibility criteria for PhD programs in different departments of at HBTU Kanpur

Department	No. of Seats (*Full Time)	Eligibility
School of Engineering		
Civil Engineering	04	(i) M. Tech. / M. E. Degree in relevant discipline with a minimum of 55% marks or equivalent CGPA/CPI. or (ii) B.E. / B. Tech. degree in relevant discipline with a minimum of 75% marks or equivalent CGPA/CPI. or (iii) MCA degree with a minimum of 55% marks or equivalent CGPA/CPI for MCA Stream (Eligible for pursuing Ph.D. in CSE Department)
Computer Science & Engineering	03+03*	
Electrical Engineering	10	
Electronics Engineering	10	
Mechanical Engineering	10	
School of Chemical Technology		
Chemical Engineering	09	(i) M. Tech. / M. E. degree in relevant discipline with a minimum of 55% marks or equivalent CGPA / CPI. or (ii) B.E. / B. Tech. degree in relevant discipline with a minimum of 75% marks or equivalent CGPA/CPI. or (iii) M. Sc. degree with minimum 55% marks in Chemistry / Industrial Chemistry / Applied Chemistry / Bio-Technology or equivalent CGPA / CPI.
Biochemical Engineering	04	
Food Technology	02	
Leather Technology	04	
Oil Technology	05	
Paint Technology	04	
Plastic Technology	04	
School of Basic & Applied Sciences		
Chemistry	02	M. Sc. Degree in relevant discipline with a minimum of 55% marks or equivalent CGPA / CPI
Physics	05	
Mathematics	02	
School of Humanities & Social Sciences		
Management	02	Master degree in relevant discipline/subject with a minimum of 55% marks or equivalent CGPA / CPI
English	-	
School of Entrepreneurship & Management		
Management (SFS)	09**	Master degree in relevant discipline / subject with a minimum of 55% marks or equivalent CGPA / CPI

* If remain vacant by full time candidates, may be filled from Part Time / Sponsored candidates.

** Only Part Time / Sponsored seats are available.

Note:

1. A relaxation of 5% of marks in minimum qualifying marks is permissible (without grace marks) to candidates belonging to SC / ST / OBC-NCL / Differently-abled, EWS and other category candidates as decided by the UGC time to time for admissions to Ph.D. programmes.

2. A University Fellowship of Rs. 15000=00 per month for a maximum period of three years will be granted to all Full-time Regular PhD students. Reservation of Seats

a) Vertical Reservation:

Category	Percentage of Reservation
(a) Scheduled Caste	21%
(b) Scheduled Tribe	02%
(c) Other Backward Classes	27%

b) Horizontal Reservation (Sub-categories):

Sub-category	Code	Maximum Percentage of Total Seats
a. Dependents of Freedom Fighters	FF	02%
b. Sons / Daughters of Defense Personnel Retired (superannuated) or killed / Disabled in action	AF	05%
c. Handicapped / Disabled persons	PH	05%
d. Girls	GL	20%

c) The candidates can be given only one type of horizontal reservation out of FF / AF / PH (*Certificate no. 4, 5 & 6 respectively*). **However, the Female candidates can also claim for any one of FF / AF / PH along with GL.**

d) Economically Weaker Section (EWS) Reservation (over and above a maximum of 10% of the offered seat intake in the course)

Candidates who wish to avail the benefit of EWS reservation must not be covered under the existing scheme of reservation for the Scheduled Castes, Scheduled Tribes other Backward Classes. They must produce Certificate No. 12 issued by the Government Officer, not below the rank of Tehsildar to claim the benefit of this reservation.

e) Request for the change of category/sub-category filled during Online Registration Form shall not be entertained under any circumstances.

f) Category / sub-category claims must be supported by the relevant certificates as per the format provided at the time of Document Verification. Otherwise, the allotment will be canceled and the candidates shall be treated in the General Category in the next round of counseling.

g) Advantage of horizontal reservation in Armed Forces sub-category is available to sons/daughters of Defense Personnel of U.P. and the Defense Personnel posted in U.P. on the date of admission.

h) Benefits of UPGL subcategory will automatically be given to all eligible female candidates.

i) Candidates belonging to other states will have only vertical reservations. Centre Government list for reserved category candidates will be accepted.

Medical Standards

- a) Candidates will have to submit a certificate of Medical Fitness / Handicapped on Certificate no. 8 at the time of Document Verifications at HBTU, Kanpur.
- b) The medical standards prescribed are given below:

Height	Candidates should be physically and mentally fit to pursue his / her studies in opted courses.
Weight	
Chest Measurement	
Heart and lungs	No abnormality
Hernia, Hydrocele, Piles etc.	The presence of any of these is to be corrected before joining
Vision	Normal, if defective, it must be got corrected to 6/9 in the better eye and 6/12 in the worse one. Eyes should be free from congenital or any other disease
Hearing	Normal, If defective, it must be got corrected before joining.

Physically Handicapped / Disabled:

Physically Handicapped / Disabled persons of Uttar Pradesh will have a minimum of 5% reservation on the basis of impairment and mentioned below:

Type I	Minimum 40% permanent Visual impairment
Type II	Minimum 40% permanent Locomotors disability
Type III	Minimum 40% permanent speech and Hearing impairment

Note: Physically Handicapped / Disability certificate should be issued by the CMO of the district.

Ph.D. Programs Offered by the University

a) Full Time

Research scholar in this category will have to work on Full-time basis to pursue their Ph.D. They will be eligible to receive assistantship / scholarship from the University, or any other recognized funding agency as per the rules.

b) Sponsored

A research scholar in this category is sponsored by a recognized R&D organization, academic institution, government organization, research laboratory or industry to pursue Ph.D. in the University on a full-time basis. Such students will be required to submit a Sponsorship Certificate from their respective organization along with their GPF / CPF / NPS contribution proof. The University shall not provide any assistantship/scholarship to such research scholars and all the applicable University fees and expenditure for pursuing research work shall be remitted by the sponsoring organization to the University. Research scholars under this scheme shall be treated as full-time students.

c) Part-time

A candidate working at a research center recognized by the University, regular / contractual faculty members of this University / employees working in Govt. / Govt. aided / Private Engineering Colleges / Universities / Industries / Public sector organizations shall be considered for the admission under this category if their Organization is situated within 100 km distance from HBTU Kanpur. All such candidates have to submit “No-Objection Certificate” from their employers at the time of interview. The requirement of 100 KM distance may be relaxed if appropriate authority of the candidate’s organization provides “No-Objection Certificate” explicitly stating that:

- i. The candidate is permitted to pursue studies on a part-time basis.
- ii. His/her official duties permit him/her to devote sufficient time for research.
- iii. If required, he/she will be relieved from his/her duty to complete the course work.

Eligible faculty / staff members of the University shall be treated as Part-time students.

d) Quality Improvement Program (QIP)

QIP provides opportunities to faculty members of the degree awarding Engineering Institutions to improve their qualifications by admitting them to Ph.D. programs as per the rules prescribed in the QIP scheme of MHRD, Govt. of India. Research Scholars under this scheme shall be treated as full time students.

TABLE 2: FEE STRUCTURE FOR PhD PROGRAMS

S. No.	Particular	Fee in (Rs.)
A	Tuition Fee	25000
B	Other than Tuition Fee	
(i)	Registration, Examination & Certification	10000
(ii)	Facility	15000
(iii)	Medical Fee	3000
(iv)	Activity Charges	1000
(v)	Other Charges	
	Caution Money	1500
	University Alumni Fund	1500
	Student Aid Fund	1500
	Contingency & Miscellaneous Charges	6500
	Total (i+ii+iii+iv+v+vi)	40000
	Grand Total (A+B)	65,000.00